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Rachel Mena

PATENT

Attorney Docket No.: 39740-0005

February 3, 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Date

PATENT APPLICATION		•
	) ·	Confirmation No. 5745
Inventor(s): Joffre Baker et al.	)	
	)	Art Unit: 1634
Application No.: 10/714,195	)	
	)	Examiner: Amanda Marie Shaw
Filed: November 14, 2003	)	·
•	)	
Title: Gene Expression Profiling of EGFR positive	)	
Cancer	)	

## INFORMATION DISCLOSURE STATEMENT

## UNDER 37 C.F.R. §1.97

Mail Stop: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Typed or print name

Signature

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy is provided of each foreign patent, each cited pending unpublished U.S. application, and all additional publications other than U.S. patents and U.S. patent application publications. 37 C.F.R. §§1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

$\boxtimes$	This sta	atement	qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
		(1)	It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  OR
		(2)	It is being filed within 3 months of entry of a national stage OR
	$\boxtimes_{\perp}$	(3)	It is being filed before the mail date of the first Office Action on the merits OR
		(4)	It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
	filing d stage as action of	ate of a rest of the set of the months of th	7(c). If this statement is being filed after the latest of: (1) three months beyond the national application; (2) three months beyond the date of entry of the national thin §1.491 in an international application; or (3) the mailing date of a first Office erits, but before the mailing date of the earlier of a final office action under §1.113 llowance under §1.311, then:
		a certifi	ication as specified in §1.97(e) is provided below; or
			\$180.00 as set forth in \$1.17(p) is authorized below, enclosed, or included with ment of other papers filed together with this statement.
		ction un	7(d). If this statement is being filed after the mailing date of the earlier of a final der §1.113 or a notice of allowance under §1.311, but before payment of the issue
	A.	a certifi	ication as specified in §1.97(e) is completed below; and
	В.	-	on under 37 C.F.R. §1.97(d) requesting consideration of this statement is seed herewith; and
	C.		\$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with ment of other papers filed together with this statement.
$\boxtimes$	of <b>\$0.0</b>	0 and ch	on. The Commissioner is hereby authorized to charge the above-referenced fees arge any additional fees or credit any overpayment associated with this to Deposit Account No. <u>08-1641 (Docket No.: 39740-0005)</u> .
			Respectfully submitted,
Dated:	<u>Februar</u>	y 3, 200	
			Leslie A. Mooi / Reg. No.: 37,047
	R EHRI ddlefield		_P

HELLER EHRMAN LLP 275 Middlefield Road Menlo Park, CA 94025-3506 Telephone: 1.650.324.7000 Facsimile: 1.650.324.0638

Customer No.: 25213

SHEET 1 OF 2

INFORMATION DISCLOSURE **STATEMENT** 

PTO-1449

39740-0005

SERIAL NO. 10/714,195

APPLICANT: Joffre Baker et al.,

ATTY. DOCKET NO.

FILING DATE: 11/14/2003

**GROUP: 1634** 

EXAMINER'S	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILINO DATE
INITIALS	2002/0004491	1/10/2002	Jiangchun Xu, et al.	514	44	DATE
	2002/0039764	4/4/2002	Craig A. Rosen	435	69.1	
	2002/0160395	10/31/2002	Dario C. Altieri, et al.	435	6	
	2003/0198972	10/23/2003	Mark G Erlander, et al.	435	6	
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	2003/0229455	12/11/2003	Michael Bevilacqua, et al.	702	20	
	2004/0126775	7/1/2004	Dario C. Altieri, et al.	435	6	
	4,968,603	11/06/1990	Dennis J. Slamon, et al.	435	6	
	5,202,429	.4/13/1993	Yoshihide Tsujimoto, et al.	536	23.5	
	5,670,325	9/23/1997	Stanley N. Lapidus, et al	435	6	
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	5,830,665	11/3/1998	Anthony P. Shuber, et al	435	6	
	5,830,753	11/3/1998	Pierre Coulie, et al.	435	325	
	5,861,278	1/19/1999	Gordon W. Wong, et al.	435	69.1	
	5,928,870	7/27/1999	Stanley N. Lapidus, et al.	435	6	
	5,952,178	9/14/1999	Stanley N. Lapidus, et al.	435	6	
	5,962,312	10/5/1999	Gregory Plowman, et al.	435	320.1	
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	6,100,029	8/8/2000	Stanley N. Lapidus, et al.	435	. 6	
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	6,146,828	11/14/2000	Stanley N. Lapidus, et al.	435	6	
	6,171,798	1/9/2001	Arnold L Levine, et al.	435	6	
	6,207,401	3/27/2001	Gregory Plowman, et al.	435	15	
	6,245,523	6/122001	Dario C. Altieri	435	69.1	
	6,620,606	9/16/2003	Olga Bandman, et al.	435	219	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## INFORMATION DISCLOSURE STATEMENT

PTO-1449

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39740-0005

10/714,195

APPLICANT: Joffre Baker et al.,

FILING DATE: 11/14/2003

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GROUP: 1634

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211	PATENT	DOCIN	<b>MENTS</b>

		U.S. PAT	TENT DOCUMENTS	<del> </del>		<del></del>
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLAS S	FILING DATE
	6,696,558	2/24/2004	John C. Reed, et al.	536	23.5	
	6,716,575	4/6/2004	Gregory Plowman, et al.	435	6	
	6,750,013	6/15/2004	Kurt C. Gish, et al.	435	6	
	6,203,993	3/20/2001	Anthony P. Shuber, et al.	435	6	
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	6,248,535	6/19/2001	Danenberg Kathleen, et al	435	6	
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EXAMINER		DA	TE CONSIDERED			

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